



## Services Overview

Martello Risk provides customized, in-country due diligence on mineral supply chains with a history of exceeding expectations. We conduct audits in places that most companies will not operate in, like eastern Democratic Republic of Congo, Burundi and the Central African Republic. While the big auditing firms are unable to perform in-country audits in places like these, the experienced members of our company can pick up where they leave off and follow supply chains up past smelters and exporters to the source. This means all the way to farms and mine sites deep in the African bush or the rain forests of Latin America and Asia. These locations require acquired networks, cultural knowledge, local negotiation, and an ability to read the risk landscape. These skills are rare.

We can partner with supply chain data providers, or any third-party service, to fill in the gap that exists at the first few miles of the value chain: where minerals or agricultural production are extracted or harvested, and then collected, sold, and transported to local traders for distribution. This is the critical stage of the supply chain where the vast majority of issues regarding human rights violations, corruption and environmental degradation are likely to occur. This is also the critical stage which

is overlooked by the supply chain validation industry. However, it is not overlooked by NGOs and watchdog groups looking to draw international attention to either intentional or unintentional violations of consumer-expected standards of trade.

Our services will provide you with the intelligence that you need to fulfill a wide variety of business obligations: Reporting Obligations (internal & external), Sourcing Regulations, Legal Requirements, Investor Relations, Public Relations and Reputational Risk. We will also assist you with messaging and communication strategies to stakeholders so that you can fully claim assurance of your products from mine, or farm, to market.

Martello Risk will provide you with a transparent process and will keep you informed -- every step of the way with photos, videos and customized reporting templates -- as if you were in the boots on the ground -- and give you confidence and significant competitive advantage in a global market that is increasingly influenced by consumer expectations of transparency, adherence to human rights and sound environmental management. It's a quick and easy process to get started!



## Services Description

### Supply Chain Sustainability & Meeting your Goals

#### Regulations, Obligations, The United Nations

##### Transparency

- Reporting obligations
- Corruption, Sanctions, Fraud
- Fiscal obligations
- Compliance

##### Corporate Social Responsibility

- Regular consultations with stakeholders
- Support of local enterprises
- Integral development plan
- Social consultations, before using the ground

### Boots on the Ground Audits

#### Understanding Ground Truth in Your Supply Chain

##### Conflict and Human Rights

- Child labor
- Slavery
- War crimes or other serious violations of international humanitarian law
- Illegally controlled sites, illegal taxes or extortion

##### Safety and Human Rights

- Hygiene, health and safety at work
- Safety training for a sufficient number of employees
- Strong gender balance policies
- Respect for human rights
- Safety audit and rescue plan

##### Environment

- Environmental and Social Impact Assessment
- Management and treatment plan for waste materials
- Ecological rehabilitation: Plan for closure and rehabilitation

### Litigation Support and Reputation Management

#### Getting to the Bottom of It & Protecting Your Brand

- Evidentiary and investigative support for clients accused of violations
- Evidentiary and investigative support for victims of exploitation
- Targeted strategies for reputation management PR, legal, media

### Due Diligence and Competitor Intelligence

#### Digging up the Dirt & Making it Shine like Gold

- Assessing new partners in frontier markets, sourcing minerals, Know-Your-Customer
- Identifying weaknesses in the supply chains of competitors to achieve market advantage

### Database of Smelters, Refiners, Suppliers

#### Smart Sourcing for Smart Business

- Identifying risks in your existing supply chain with our intelligence
- Providing substitutes for those suppliers that are ticking time bombs



## Services Process – Estimated Timeline

- 1. Consultation – 1 week
- 2. Contract & NDA – 1 week
- 3. Supplier Data Review – 1 week
- 4. Sustainability & Risk Analysis – 2 weeks
- 5. Customize Services – 1 week
- 6. Finalize Timeline – 1 week
- 7. Implementation & Audits – 1 month
- 8. Reporting – 3 weeks
- 9. Corrective Action – 1 month
- 10. Surveillance – 1 year





## What We Do

### Boots on the Ground Audits

We conduct sourcing audits for a number of existing, long-term clients as part of their obligations to the Responsible Mineral Initiative, Dodd-Frank 1502, and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. We are also one of only three companies appointed by the International Conference on the Great Lakes Region as third-party auditors for that intergovernmental organisation's Regional Certificate Mechanism.

### Litigation Support and Reputation Management

We have acted for a leading diamond company operating in Central Africa which was wrongly accused of violating a Kimberley Process export embargo. We conducted a complete audit of the diamond stockpile and compared stock to purchase receipts. We were able to demonstrate adherence obligations under the Kimberley Process Certification Scheme.

We have also undertaken a major project in East Africa assessing environmental pollution and illegal mining on large gold concession areas on behalf of a local mining companies which were seeking reparations from multinationals.

### Due Diligence and Competitor Intelligence

We have provided clients with intelligence regarding potential partners in their supply chains and direct transactions. We have identified major issues concerning narcotics money laundering, terrorist financing, modern slavery and environmental destruction for a broad range of clients including some of Silicon Valley's largest electronics manufacturers, global banks and US and European law enforcement.

### Database of Smelters, Refiners, Suppliers

Our database includes decades of collated information and intelligence. It enables us to rapidly identify and flag potential issues in your supply chain. It also provides us with the means to locate suitable substitute suppliers with proven track records of transparency and traceability.



## Voice of the Customer

*"The Martello Risk project finished last night and everyone involved is to be congratulated for having achieved what we set out to do.... my deepest appreciation goes out to the team for pulling their weight under difficult conditions, so many unknown factors and unexpected circumstances..... but we all pulled through."*

- Senior Partner Global Law Firm

*"Many thanks again for the very helpful and timely intelligence.... Very glad not to have invested - clearly the right call!"*

- President Major Mining Investment Company

*"Your insights and contacts are incredibly helpful and while only a call for you, the ability to make that call is where the value is. This has saved us a considerable amount of money and quite possibly our reputation."*

- CEO Multinational Jewelry Manufacturer

